| FORM PTO (REV 10-20 | | | | | | | | | | |
|---|--|---|--|--|--|--|--|--|--|--|
| T | RANSMITTAL LETTER TO THE UNITED STATES | 0020-5314PUS1 | | | | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | | U.S. APPLICATION NO. Of Supers. See 37-CFR 1.59 | | | | | | | | |
| CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED | | | | | | | | | | |
| PCT/JP03/05124 22 April 2003 25 April 2002 | | | | | | | | | | |
| TITLE OF INVENTION NOVEL PIPERIDINE DERIVATIVE | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Hitoshi BAN and Masami MURAOKA | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. x | . X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371. | | | | | | | | | |
| 3 <u>×</u> | This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. | The US has been elected (Article 31). | | | | | | | | | |
| 5. x | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | |
| a. | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. | x has been communicated by the International Bureau. WO 03/091216 A1 | | | | | | | | | |
| c. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. x | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | | | |
| a. | x is attached hereto. | | | | | | | | | |
| b. | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. x | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | |
| a. | are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. | have been communicated by the International Bureau. | | | | | | | | | |
| c. | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. | x have not been made and will not be made. | | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | |
| 9. x | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | |
| 10. | An English language translation of the annexes to the International Preliminary Examination Report under PCT | | | | | | | | | |
| Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | |
| 11. x | 7 | | | | | | | | | |
| 12. x | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. x | A preliminary amendment. | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | |
| 18. | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. x | Other items or information: PCT/ISA/210; PCT/IB/304; PCT/IB/306; PCT/IB/308. | | | | | | | | | |
| L | | | | | | | | | | |
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| U.S. APPLICATION NO. GENERATION SERVICE OF CORRESPONDENCE OF CORRE | | | | | | ATTORNEY'S DOCKET NUMBER | | | | |
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| 10 / bew 1 2 1 9 PCT/JP03/05124 | | | | 4 | 0020-5314PUS1 | | | | | |
| 21. x The following | CALCULATIONS PTO USE ONLY | | | | | | | | | |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)): x Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | | | | | | | | |
| International prelimi USPTO but International | | | | | | | | | | |
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| ENTER | \$ | 950.00 | 1 | | | | | | | |
| Surcharge of \$130.00 for from the earliest claimed p | \$ | | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | F | RATE | | | | | | |
| Total claims | 37-20 = | 17 | х | 0.00 | s | 306.00 | | | | |
| Independent claims | 1-3 = | 0 | х | 0.00 | \$ | 0.00 | | | | |
| MULTIPLE DEPENDE | | | | 300.00 | \$ | 300.00 | | | | |
| A12 | TOTAL mall entity status. See 3 | L OF ABOVE CALCU | | | \$ | 1,556.00 | | | | |
| are reduced by ½. | man entity status. See : | of CFR 1.27. The lees | muicai | eu above | \$ | | | | | |
| | | S | UBTO | TAL = | \$ | 1,556.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | | | | | | | |
| | | TOTAL NATIO | ONAL | FEE = | \$ | 1,556.00 | | | | |
| Fee for recording the endo be accompanied by an app | \$ | 40.00 | | | | | | | | |
| | \$ | 1,596.00 | | | | | | | | |
| | | Amount to be refunded: | \$ | | | | | | | |
| | | | | | | charged: | \$ | | | |
| a. x A check in the amount of \$ 1,596.00 to cover the above fees is enclosed. | | | | | | | | | | |
| b. Please charge my Deposit Account No. in the amount of \$ | | | | | | | | | | |
| to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | | | | | | |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| | | granted to restore the s | thhuca | ition to per اگھید | iuing | Status. | | | | |
| SEND ALL CORRESPONDENCE TO: SIGNATURE: | | | | | | | | | | |
| ! | Ma | rk J. Nuell | | | | | | | | |
| | 36,623 | | | | | | | | | |
| CUSTOMER NUMBER: 02292 October 15, 2004 REGISTRATION NUMBER DRN/nl | | | | | | | | | | |